

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 004-4017-1			
				Application No.: 10/643,813			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s): David L. Wood et al.			
(Use several sheets if necessary)				Filing Date: 08-19-2003			
				Group Art Unit: Not Yet Assigned			
				Date Submitted: September 24, 2003			
U.S. Patent Documents							
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01	
	AB	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
Foreign Patent Documents							
						Translation	
	Document	Date	Country	Class	Subclass	Yes	No
	AL	96/42041	27 Dec. 1996	WO			
	AM	98/25373	11 Jun. 1998	WO			
	AN	98/57247	17 Dec. 1998	WO			
	AO	0 465 016 A	Jan. 8, 1992	EP			
	AP	0 849 680 A	June 24, 1998	EP			
	AQ						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR						
	AS						
	AT						
Examiner			Date Considered				
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<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; transform: rotate(-45deg); transform-origin: center;">             TYPE INFORMATION DISCLOSURE STATEMENT BY APPLICANT              SP 29 2003              PATENT &amp; TRADEMARK OFFICE           </div>		Applicant(s): David L. Wood, et al.
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<b>NON PATENT LITERATURE DOCUMENTS</b>		
*Examiner Initial	Cite No.	(Including name of author in capital letters, title of article, title of item, date, pertinent pages, volume-issue number(s), publisher, city and/or country where published.)
	AA	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.
	AB	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, <a href="http://nii.isi.edu/publications/kerberos-neuman-tso.html">http://nii.isi.edu/publications/kerberos-neuman-tso.html</a> , 12 pages.
	AC	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, <a href="http://www.cni.org/projects/authentication/authentication-wp.html">http://www.cni.org/projects/authentication/authentication-wp.html</a> , 28 pages.
	AD	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, <a href="http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm">http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm</a> , 5 pages.
	AE	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum #424</i> , March 17, 1989, 12 pages.
	AF	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.
	AG	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.
	AH	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, <a href="http://www.platinum.com/products/wp/wp_sso.htm">http://www.platinum.com/products/wp/wp_sso.htm</a> , 7 pages.
	AI	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, <a href="http://www.bmc.com/cgiserver/info/how_itworks.html">http://www.bmc.com/cgiserver/info/how_itworks.html</a> , 3 pages.
	AJ	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," <i>Sun Microsystems White Paper</i> , Palo Alto, CA, downloaded April 20, 1999, <a href="http://www.sun.com/security/sec.policy.wp.html">http://www.sun.com/security/sec.policy.wp.html</a> , 23 pages.
	AK	"Kerberos and 3rd Party Authentication," Version 2.1 - March 26, 1994, <i>SecurityDynamics White Paper</i> , Bedford, MA, <a href="http://www.securitydynamics.com/products/whitepapers/kerberos.html">http://www.securitydynamics.com/products/whitepapers/kerberos.html</a> , 8 pages.
	AL	<u>Mastering Security on the Internet for Competitive Advantage</u> , Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.
	AM	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, <a href="http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt">http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt</a> , 6 pages.
AN	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, <a href="http://www.bmc.com/cgiserver/info/cgawp.html">http://www.bmc.com/cgiserver/info/cgawp.html</a> , 15 pages.	
AO	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, <a href="http://www.platinum.com/products/factsht/assso_fs.htm">http://www.platinum.com/products/factsht/assso_fs.htm</a> , 3 pages.	
AP	"Products Application Server," <i>Art Technology Group Product Overview Developer Workbench</i> , downloaded April 21, 1999, <a href="http://www.atg.com/products/das/das_main.html?didframe=true">http://www.atg.com/products/das/das_main.html?didframe=true</a> , 4 pages.	
AQ	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.	
Examiner	Date Considered 15 MAY 05	
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*Examiner Initial	Site No.	(Including name of author in capital letters, title of article, title of item, date, pertinent pages, volume-issue number(s), publisher, city and/or country where published.)
D	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.
D	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.
D	AT	W. Stallings, "Authentication Applications", <i>Cryptography and Network Security</i> , Chapter 11, pp. 323-340, 1998 Prentice-Hall, US XP002161792
D	AU	R. Ganesan, "Yaksha: Augmenting Kerberos with Public Key Cryptography", <i>Proceedings of the Symposium on Network and Distributed System Security</i> , pp. 132-143, Feb. 16, 1995, IEEE, XP002148503
D	AV	W. Stallings, "IPv6: The New Internet Protocol", <i>IEEE Communications Magazine</i> , IEEE Service Center, Piscataway, N.J., US, vol. 34, no. 7, July 1, 1996, pp. 96-108 XP000623747, ISSN: 0163-6804
	AW	
	AX	
	AY	
	AZ	
	AAA	
	ABB	
	ACC	
	ADD	
	AEE	
	AFF	
	AGG	
	AHH	
Examiner <u>1</u>		Date Considered <u>5 MAY 05</u>
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